

Due Diligence Data Requests

Offering/ Subscription Materials	Marketing Materials	Strategy/Portfolio Information	Audits and Performance	Regulatory/Legal	General Review	Operations and Compliance
PPM/Disclosure document	Summary sheet	Attribution analytics	Audits (past three years)	Form ADV (if applicable)	Sample K-1	Compliance Manual/Code of Ethics
Limited Partnership Agreement/ LLC Agreement	Presentation	Risk allocation by asset class, sector, trade length, model, etc. (if available)	Additional performance info (including performance record adjustments, if applicable)	SEC/NFA Exit Letters	Monthly brokerage statements (managed accounts only)	Disaster recovery plan
Subscription documents	Due Diligence Questionnaire ("DDQ")	Contact info for platforms (if applicable)	AUM (strategy/firm) by month since inception	Criminal/civil/litigation/tax/regulatory or other disclosures	Standard reporting package to investors (e.g., sample account statement/ letters)	
Paperwork/ funding deadlines	Frequently Asked Questions ("FAQ")	Valuation policy			Investor references	
	Recent commentary (as many as available)	Third-party valuation agent			Service provider references	
	Miscellaneous marketing material				Third-party due diligence reports	